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| | | | |
|---|----|------------------------|-----------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application No. | 09/538,951 |
| | | Filing Date | March 31, 2000 |
| | | First Named Inventor | Carl M. Ellison |
| | | Group Art Unit | 3642 |
| | | Examiner Name | Unassigned |
| Total Number of Pages in This Submission | 20 | Attorney Docket Number | 42390P8097 |

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| ENCLOSURES (check all that apply) | | |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">SB08, Copy of Search Report and 9 Cited References</div> |
| Remarks | | RECEIVED NOV 27 2002 GROUP 3600 |
| | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature | |
| Date | November 18, 2002 |

| CERTIFICATE OF MAILING/TRANSMISSION | | | |
|---|---------------------|------|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: November 18, 2002 | | | |
| Typed or printed name | Corrinn R. Reynolds | | |
| Signature | | Date | November 18, 2002 |



| | | | |
|--|--|--------------------------|-----------------|
| FREE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small> | | Complete if Known | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | | Application Number | 09/538,951 |
| | | Filing Date | March 31, 2000 |
| | | First Named Inventor | Carl M. Ellison |
| | | Examiner Name | Unassigned |
| | | Group/Art Unit | 3642 |
| TOTAL AMOUNT OF PAYMENT (\$) | | Attorney Docket No. | 42390P8097 |

| | |
|---|--|
| METHOD OF PAYMENT (check one) | |
| <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None | |
| <input type="checkbox"/> Deposit Account | |
| Deposit Account Number | 02-2666 |
| Deposit Account Name | Blakely, Sokoloff, Taylor & Zafman LLP |
| The Commissioner is authorized to: (check all that apply) | |
| <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments | |
| <input type="checkbox"/> Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. | |
| <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account | |

| FEE CALCULATION | | | | |
|---------------------|----------|--------------|----------|------------------------|
| 1. BASIC FILING FEE | | | | |
| Large Entity | | Small Entity | | Fee Description |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1001 | 740 | 2001 | 370 | Utility filing fee |
| 1002 | 330 | 2002 | 165 | Design filing fee |
| 1003 | 510 | 2003 | 255 | Plant filing fee |
| 1004 | 740 | 2004 | 370 | Reissue filing fee |
| 1005 | 160 | 2005 | 80 | Provisional filing fee |
| SUBTOTAL (1) | | | | (\$) |

| 2. EXTRA CLAIM FEES | | | | |
|--|----------|--------------|----------------|---|
| Total Claims | | Extra Claims | Fee from below | Fee Paid |
| Independent Claims | 20** | | | |
| Multiple Dependent | 3 | | | |
| Large Entity | | Small Entity | | Fee Description |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple Dependent claim, if not paid |
| 1204 | 84 | 2204 | 42 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | | | (\$) |
| **or number previously paid, if greater, For Reissues, see below | | | | |

| 3. ADDITIONAL FEES | | | | |
|-----------------------------------|----------|--------------|----------|--|
| Large Entity | | Small Entity | | Fee Description |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. |
| 2053 | 130 | 2053 | 130 | Non-English specification |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for <i>ex parte</i> reexamination |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month |
| 1252 | 400 | 2252 | 200 | Extension for reply within second month |
| 1253 | 920 | 2253 | 460 | Extension for reply within third month |
| 1254 | 1,440 | 2254 | 720 | Extension for reply within fourth month |
| 1255 | 1,960 | 2255 | 980 | Extension for reply within fifth month |
| 1404 | 320 | 2401 | 160 | Notice of Appeal |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal |
| 1403 | 280 | 2403 | 140 | Request for oral hearing |
| 1451 | 1,510 | 2451 | 1,510 | Petition to institute a public use proceeding |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable |
| 1453 | 1,280 | 2453 | 640 | Petition to revive - unintentional |
| 1501 | 1,280 | 2501 | 640 | Utility issue fee (or reissue) |
| 1502 | 460 | 2502 | 230 | Design issue fee |
| 1503 | 620 | 2503 | 310 | Plant issue fee |
| 1460 | 130 | 2460 | 130 | Petitions to the Commissioner |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) |
| 1809 | 740 | 1809 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 1810 | 740 | 2810 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) |
| 1801 | 740 | 2801 | 370 | Request for Continued Examination (RCE) |
| 1802 | 900* | 1802 | 900 | Request for expedited examination of a design application |
| Other fee (specify) _____ | | | | |
| *Reduced by Basic Filing Fee Paid | | | | SUBTOTAL (3) (\$) |

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| SUBMITTED BY | | Complete (if applicable) | |
|-------------------|-------------------|-----------------------------------|----------------|
| Name (Print/Type) | William W. Schaal | Registration No. (Attorney/Agent) | 39,018 |
| Signature | | Telephone | (714) 557-3800 |
| | | Date | 11/18/02 |

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Docket No.: 42390P8097

#9
12-4-02
JH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CARL M. ELLISON, ET AL.

Application No.: 09/538,951

Filed: March 31, 2000

For: **Platform and Method for Issuing and
Certifying a Hardware-Protected
Attestation Key**

Art Group: 3642

Examiner: Unassigned Technology Center 2100

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated August 6, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated August 6, 2002.

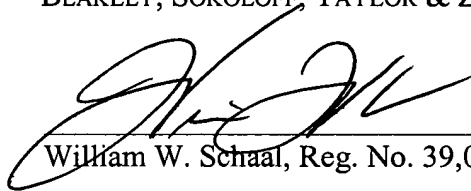
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 18, 2002

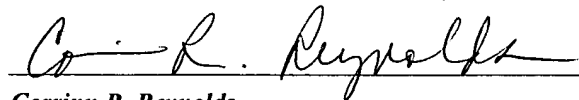


William W. Schaal, Reg. No. 39,018

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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

November 18, 2002



Corinn R. Reynolds

11-18-02

Date